

# LICENSEE KEY PERFORMANCE INDICATOR SPECIFICATIONS

The Safety, Rehabilitation and Compensation Commission (the Commission) Licence Compliance and Performance Model<sup>1</sup> outlines the performance standards and measures that will be utilised to measure the claims, rehabilitation and prevention performance of self-insured licensees in the Comcare scheme.

This document details the specifications of the reporting framework that provides the Commission with an overview of the performance of licensees.

This document replaces the previous specifications documents titled *Licensee Key Performance Indicator Specifications*July 2017 and *Licensee Key Performance Indicator Target Setting Methodology January 2020*.

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<sup>1</sup> Licence Compliance and Performance Model, available from www.srcc.gov.au

### INTRODUCTION

# **Performance reporting**

The performance of licensed self-insurers against the Licensee Key Performance Indicators (LKPIs) is an important component of the Licensee Compliance and Performance Model.

The Commission has set performance indicators across several areas for licensees to meet, as outlined in Section 7 of the model.

# Frequency and timing of reporting

The Commission considers quarterly performance reports, which amongst other things address licensee's outcomes against the LKPI's. These reports are compiled by Comcare from the claims based data submitted to the Commission Data Warehouse (CDW) and information collected directly from licensees (i.e. non-claims based data, e.g. full time equivalent data).

The requirements for data submissions to the CDW are set out in the latest version of the *Commission Data Warehouse Specifications* which is available on the Commission's website (<a href="www.srcc.gov.au">www.srcc.gov.au</a>).

## **Enquiries**

Further information on LKPI reporting requirements may be obtained from the Self Insurance Team, via selfinsurance@comcare.gov.au.

# LICENSEE KEY PERFORMANCE INDICATORS

|                            | of notified fatalities   |  |
|----------------------------|--|--|
| Description                | Number of worker fatalities notified to a licensee's respective Work Health and Safety (WHS) regulator.  |  |
| Purpose                    | Provides the Commission prevention notification of work-related fatalities, to ensure that prevention management systems are working effectively and the management of hazards or risks occurring in the workplace.                                    |  |
| Reporting basis            | WHS incident notifications for worker deaths made by a self-insured licensee in the reporting period to their respective WHS regulator, irrespective of the date of incident or date of death.   |  |
| Reporting unit             | Number.  |  |
| Method for setting targets | Zero fatalities.   |  |
| Data source                | WHS Act incident notifications received by Comcare from licensed self-insurers.  |  |
| Data elements              | Number of worker deaths for which a WHS incident notification was received by the relevant WHS regulator in the reporting period.  |  |
| Data exclusions            | Third party fatalities.  |  |
| Calculation                | LKPI 1 = Number of notified fatalities.  |  |
| Data update frequency      | Monthly.   |  |
|                            |  |  |
| LKPI 2 Number              | of compensated fatalities  |  |
| Description                | Number of compensated worker fatalities with a date of first determination in the period.  |  |
| Purpose                    | Provides the Commission prevention notification in relation to compensable fatalities.   |  |
| Reporting basis            | Claims for workers' compensation under the Safety, Rehabilitation and Compensation Act (SRC Act) for worker fatalities, with a date of first determination in the reporting period, irrespective of the date of death or date of receipt of the claim. |  |
| Reporting unit             | Number.  |  |
| Method for setting targets | Zero fatalities.   |  |
| Data source                | Monthly claims data from the Commission Data Warehouse.  |  |
| Data elements              | Number of claims with:  > an initial determination date (CDW item C2)in the reporting period; and  > a Death due to claim flag (CDW item B22) of Y (yes).  |  |
| Data exclusions            | <ul> <li>Commuting claims</li> <li>Claims with a current Determination status code other than A (accepted).</li> </ul>   |  |
| Calculation                | LKPI 2 = Number of compensated fatalities.   |  |
|                            |  |  |

Data update frequency

Monthly.

| Description           | Number of claims initially determined in the period, with a current determination status of  |
|-----------------------|--|
|                       | accepted, per 1000 Full Time Equivalent Employees.   |
| Purpose               | Provides the Commission oversight of accepted claim trends and prevention of hazards or risks occurring in the workplace.  |
| Reporting basis       | Claims in which the first liability determination was made in the reporting period, irrespective of the date of injury or the date of receipt of the claim.  |
| Reporting unit        | Incidence rate.  |
| Data trend            | Represented by a linear trend line which models the data into a straight line. It provides the line of best fit that can be used to represent the behavioural aspects of the data to determine if there is any particular pattern. The linear trend is the steady increase or decrease of the variables over the period of time. The model observes the previous data and predicts the future growth or pattern. |
| Data source           | Monthly claims data from the Commission Data Warehouse.  |
| Data elements         | Accepted claims: number of claims with:  |
|                       | > an initial determination date (CDW item C2) in the reporting period, and   |
|                       | > a current determination status code (CDW Item C3) of A (Accepted)  |
|                       | FTE employees: number of FTE employees for the relevant reporting period.  |
|                       | Note: the incidence of accepted claims will be reported based on the most recent FTE employee data available, proportioned to the relevant reporting period.   |
| Sample calculation    | To calculate the incidence rate for a given reporting period, both the number of claims that occurred in that reporting period and the relevant FTE employee figure is required.   |
|                       | The FTE employee figure for the reporting period is calculated by multiplying the daily FTE figure for the current financial year by the number of days in the reporting period.  Example  |
|                       | An organisation initially accepts 25 claims during the third quarter of the 2022–23 reporting period and has an FTE employee number of 2920 for the 2022–23 financial year.  |
|                       | The daily FTE employee is obtained by dividing 2920 by the number of days in the 2022–2 reporting year (365). This equates to a daily FTE of eight (8).  |
|                       | There are 90 days in the third quarter reporting period, so the FTE for the third quarter is $90 8 = 720$  |
|                       | Using the calculation below, the incidence rate is the number of initially accepted claims divided by the FTE Employee figure, multiplied by 1000 to give an incidence per 1000 FTE employees.   |
|                       | Incidence rate = (25/720) X 1000 = 34.7 claims per 1000 FTE  |
| Data exclusions       | Commuting claims.  |
| Calculation           | Accepted claims  |
|                       | LKPI 3 = True True True True True True True True   |
| Data update frequency | Monthly.   |
| Contextual data       | Quarterly comparison   |
|                       | The quarterly variance in incidence and accumulative claim numbers for the current and previous two quarters.  |
|                       | Claim type   |
|                       | The incidence rate of claim types (Injury/Disease/Psychological, CDW item B4 see Glossary as a proportion of accepted claims represented in the financial year.  |
|                       | Top 3 Mechanisms of Injury   |
|                       | The top three mechanisms of injury (CDW item B6) percentage as a proportion of accepted claims represented in the financial year.  |
|                       | The Top 3 Mechanism of Injury is based on the total count of accepted claims over the 5-year period, ranking this in order from 1–3.   |

| LKPI 4 Incidence      | e of claims reaching one week of incapacity  |  |
|-----------------------|--|--|
| Description           | Number of claims initially determined in the reporting period with one week or more lost time, per 1000 Full Time Equivalent Employees.  |  |
| Purpose               | Provides the Commission with oversight of accepted claims with one week or more lost time trends and prevention of hazards or risk occurring in the workplace.   |  |
| Reporting basis       | Claims with a cumulative one week of incapacity that were initially determined in the reporting period, with a current determination status of accepted.   |  |
| Reporting unit        | Incidence rate.  |  |
| Data trend            | Represented by a linear trend line which models the data into a straight line. It provides the line of best fit that can be used to represent the behavioural aspects of the data to determine if there is any particular pattern. The linear trend is the steady increase or decrease of the variables over the period of time. The model observes the previous data and predicts the future growth or pattern.   |  |
| Data source           | Monthly claims data from the Commission Data Warehouse.  |  |
| Data elements         | Accepted claims: number of claims with:  > an initial determination date (CDW item C2) in the reporting period, and  > a current determination status code (CDW Item C3) of A (Accepted)  One week of incapacity: number of claims which have accumulated one week or more of incapacity (CDW item G7, Incapacity Weeks).  FTE employees: number of FTE employees for the relevant reporting period.  Note: the incidence of serious claims will be reported based on the most recent FTE employee data available, proportioned to the relevant reporting period.  |  |
| Sample Calculation    | To calculate the incidence rate for a given reporting period, both the number of claims that occurred in that reporting period and the relevant FTE employee figure is required. The FTE employee figure for the reporting period is calculated by multiplying the daily FTE figure for the current financial year by the number of days in the reporting period. <b>Example</b> An organisation records 12 accepted claims which have reaching one week of incapacity during the first quarter of the $2022-23$ reporting period and has an FTE employee number of $1825$ for the $2022-23$ financial year.  The daily FTE employee is obtained by dividing $1825$ by the number of days in the $2022-23$ reporting year ( $365$ ). This equates to a daily FTE of five ( $5$ ).  There are $92$ days in the first quarter reporting period, so the FTE for the first quarter is $92 \times 5 = 460$ Using the calculation below, the incidence rate is the number of claims divided by the FTE Employee figure, multiplied by $1000$ to give an incidence per $1000$ FTE employees. Incidence rate $= (12/460) \times 1000 = 26.1$ claims per $1000$ FTE (rounded to $1$ decimal point). |  |
| Data exclusions       | Commuting claims.  |  |
| Calculation           | Claims reaching one week of incapacity   |  |
|                       | LKPI 4 =   |  |
| Data update frequency | Monthly.   |  |
|                       |  |  |

# LKPI 4 Incidence of claims reaching one week of incapacity

#### Contextual data

#### **Quarterly comparison**

The quarterly variance in incidence and accumulative claim numbers for the current and previous two quarters.

#### Claim type

The incidence rate of claim types (Injury/Disease/Psychological, CDW item B4 see Glossary) as a proportion of accepted claims with one week or more of lost time represented in the financial year.

#### Top 3 Mechanisms of Injury

The top three mechanisms of injury (CDW item B6) percentage as a proportion of accepted claims with one week or more of lost time represented in the financial year.

The Top 3 Mechanism of Injury is based on the total count of accepted claims with one week or more of lost time over the 5-year period, ranking this in order from 1–3.

| LKPI 5 Return   | to Work rate  |  |
|-----------------|---|--|
| Description     | The percentage and volume of currently accepted claims that have experienced Return to Work (RTW) outcomes.   |  |
| Purpose         | Provides the Commission oversight of return to work outcomes for injured employees returning to work or staying at work while they recover from a work related injury or illness.   |  |
| Reporting basis | Number.   |  |
| Data source     | Monthly claims data from the Commission Data Warehouse.   |  |
| Data elements   | For inclusion claims must have a current determination status of accepted, have lost time, and have a date of initial determination within 24 months prior to the reporting period.  For example, when reporting RTW rates for the reporting period ending 31 March 2023, this refers to claims with:  > A determination status of accepted as at 31 March 2023; and  > A date of initial determination between 31 March 2021 and 31 March 2023 (both end dates inclusive). |  |
| Reporting unit  | Percentage.   |  |
| Data trend      | Represented by a linear trend line which models the data into a straight line. It provides the line of best fit that can be used to represent the behavioural aspects of the data to determine if there is any particular pattern. The linear trend is the steady increase or decrease of the variables over the period of time. The model observes the previous data and predicts the future growth or pattern.  |  |
| Data source     | Monthly claims data from the Commission Data Warehouse.   |  |

### **LKPI 5 Return to Work rate**

#### Data elements

Accepted claims: number of claims with:

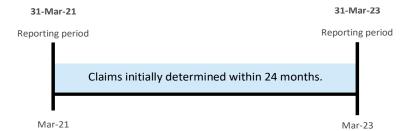
- > an initial determination date (CDW item C2) in the reporting period, and
- > a current determination status code (CDW Item C3) of A (Accepted)

**One week of incapacity:** number of claims which have accumulated any incapacity (CDW item G7, Incapacity Weeks) as the end of the reporting period.

**RTW Outcome (Full or Partial):** number of claims which a RTW outcome (CDW item B15, RTW status code) of:

- > Full 00, 01, 21, 22, 23
- > Partial 02, 24, 25, 26, 27

For example, when reporting the RTW rate for the reporting period ending 31 March 2023:



#### Data exclusions

RTW Status Code of 04, 05, 06, 30 and 31

#### Calculation

LKPI 5 = Accepted claims with Full or Partial RTW

Accepted claims

#### Data update frequency

Monthly.

#### Contextual data

#### Quarterly comparison

The quarterly variance in return to work rate and accumulative claim numbers for the current and previous two quarters.

#### Claim type

The return to work rate represented in the financial year for claim types (Injury/Disease/Psychological, CDW item B4 see Glossary).

#### Top 3 Mechanisms of Injury

The top three mechanisms of injury (CDW item B6) of the return to work rate represented in the financial year.

The Top 3 Mechanism of Injury is based on the total count of accepted claims with one week or more of lost time over the 5-year period, ranking this in order from 1-3.

| LKPI 6 Timelines          | ss – determination of new claims   |  |
|---------------------------|--|--|
| Description               | The percentage of new claims that were initially determined within set timeframes from the date of receipt by the determining authority of a compliant claim:  a) 20 days injury, 60 days disease b) 30 days injury, 75 days disease c) 45 days injury, 90 days disease  |  |
| Purpose                   | Provides the Commission oversight of claims management performance and timeliness of determinations of new claims.   |  |
| Reporting basis           | Claims with an initial determination date in the reporting period.   |  |
| Reporting unit            | Percentage.  |  |
| Method for setting target | The following targets are set for the determination of claims within timeframes:  > Tier 1 - 95 per cent  > Tier 2 - 98 per cent  > Tier 3 - 100 per cent  |  |
| Data source               | Monthly claims data from the Commission Data Warehouse.  |  |
| Data elements             | <ul> <li>Claims determined: number of claims with an initial determination date ((CDW item C2)) in the reporting period</li> <li>Within timeframe (Injury): for all Injury claims (CDW item B4, see Glossary) with an initial determination date in the reporting period, the number of claims determined within:</li> <li>a) 20 calendar days of the compliance date (i.e. initial determination date minus compliance date ≤ 20 days)</li> <li>b) 30 calendar days of the compliance date</li> <li>c) 45 calendar days of the compliance date</li> <li>Within timeframe (Disease): for all Disease claims (CDW item B4, see Glossary) with an initial determination date in the reporting period, the number of claims determined within:</li> <li>a) 60 calendar days of the compliance date (i.e. initial determination date minus compliance date ≤ 60 days)</li> <li>b) 75 calendar days of the compliance date</li> <li>c) 90 calendar days of the compliance date</li> </ul> |  |
| Data exclusions           | <ul> <li>Claims with a Takeover claim flag (CDW item B33) of Y (yes); and</li> <li>Claims with a current Determination status code (CDW item C3) of D (deleted) or W (withdrawn).</li> </ul>   |  |
| Calculation               | Within timeframe (injury) + Within timeframe disease   |  |
|                           | LKPI 6 = Claims determined x 100   |  |
| Data update frequency     | Monthly.   |  |
| Contextual data           | Average days to determination  The average days to determine a new injury and disease claim within the reporting period.   |  |



| LKPI 7 Timelines           | ss – decisions on requests for reconsideration   |
|----------------------------|--|
| Description                | The percentage of reconsideration requests decided, in the reporting period, within set timeframes from the date of receipt of the reconsideration request by the determining authority:  a) Within 30 days b) Within 45 days c) Within 90 days  |
| Purpose                    | Provides the Commission oversight of claims management performance and timeliness of reconsideration requests decided.   |
| Reporting basis            | Reconsiderations with a reconsideration decision (i.e. reviewable decision) date in the reporting period.  |
| Reporting unit             | Percentage.  |
| Method for setting targets | The following targets are set for the determination of reconsideration requests within timeframes:  > Tier 1 - 95 per cent  > Tier 2 - 98 per cent  > Tier 3 - 100 per cent  |
| Data source                | Monthly claims data from the Commission Data Warehouse.  |
| Data elements              | <ul> <li>Reconsiderations decided: number of reconsideration requests with a Reconsideration decision date (CDW item H6) in the reporting period</li> <li>Within timeframe for all reconsideration requests with a Reconsideration decision date in the reporting period, the number of reconsideration requests decided within:</li> <li>a) 30 calendar days of the compliance date (i.e. reconsideration decision date (CDW item H6) minus Reconsideration received date (CDW item H3) ≤ 30 days)</li> <li>b) 45 calendar days of the compliance date</li> <li>c) 90 calendar days of the compliance date</li> </ul> |
| Data exclusions            | <ul> <li>Reconsiderations with a Reconsideration initiator code (CDW item H4) of S [self (determining authority)]; and</li> <li>Reconsiderations with a Reconsideration decision code (CDW item H7) of W (withdrawn) or X (Unknown/Not Applicable).</li> </ul>   |
| Calculation                | $LKPI 7 = \frac{Within timeframe}{Reconsiderations decided} \times 100$  |
| Data update frequency      | Monthly.   |
| Contextual data            | Average days to decisions on requests for reconsideration  The average days for the determination of reconsiderations within the reporting period.   |



### **IDENTIFICATION OF DATES AND DETERMINATION STATUS CODES**

The following table illustrates how the compliance date, initial determination date, initial determination status and current determination status are identified from monthly CDW data.

When a compliant claim is received in the first instance by a licensee, the first *Determination status code* recorded in relation to the claim would be 'U' (undetermined) and the corresponding *Date/time of determination status change* would indicate the compliance date (see Claim A below).

When a non-compliant claim received, the first *Determination status code* recorded in relation to the claim would be 'N' (non-compliant) (note that a licensee may elect not to report a non-compliant claim to the CDW). If the non-compliance is addressed and a compliant claim subsequently received, a new *Determination status code* of 'U' (undetermined) would be recorded and the corresponding *Date/time of determination status change* would indicate the compliance date (Claim B).

When an initial decision to accept or deny liability has been made in relation to a claim, a new *Determination status code* of either 'A' (Accepted) or 'R' (Rejected) would be recorded, indicating the initial determination status. The corresponding *Date/time of determination status change* would indicate the initial determination date (Claims A and B).

In all cases, even where a decision to accept or deny liability has not yet been made, the current determination status is identified by the latest recorded *Determination status code* in relation to a claim. For example, for a claim that was withdrawn prior to determination, and upon which no further action has occurred, the current determination status would be "W" (withdrawn) (Claim C).

| Claim identifier <sup>1</sup> | Date/time of determination status change <sup>2</sup> | Determination status code <sup>3</sup>         |
|-------------------------------|---|--|
| А                             | 1 Feb 2010 (Compliance date)                          | 'U'  |
| Α                             | 4 Feb 2010 (Initial determination date)               | 'A' (Initial and current determination status) |
| В                             | 2 Mar 2011  | `N′  |
| В                             | 10 Mar 2011 (Compliance date)                         | `U'  |
| В                             | 28 Mar 2011 (Initial determination date)              | 'R' (Initial determination status)             |
| В                             | 2 May 2011  | 'A' (Current determination status)             |
| С                             | 14 Mar 2011   | `U′  |
| С                             | 5 Apr 2011  | 'W' (Current determination status)             |

<sup>1</sup> Refer item C1 in CDW Specifications

<sup>2</sup> Refer item C2 in CDW Specifications (date component only)

<sup>3</sup> Refer item C3 in CDW Specifications

### CALCULATION OF INCIDENCE RATES

In order to calculate LKPI's 3 (accepted claims) and 4 (claims reaching one week of incapacity), a Full Time Equivalent Employee (FTE) number is required for each licensee for the corresponding reporting period to calculate the incidence rate per 1000 FTE.

For example, to calculate LKPI 3 – Incidence rate of accepted claims, the following calculation is used:

When calculating incidence rates, the FTE figure is proportioned for the relevant reporting period – this is in order to provide a comparable incidence rate for the relevant period.

For example, when compiling reports for the first quarter of a reporting year (July through September), the equivalent of the first three months' worth of the FTE is used to calculate the incidence rate. The FTE for the quarter is calculated based on the number of calendar days in the period, rather than simply dividing the annual FTE figure by four.

When reporting on the financial year to date as at the end of a reporting quarter, the FTE for the relevant period is utilised to calculate incidence rates – for example, when reporting on the financial year to December, the combined daily FTE for the period 1 July through 31 December is used as the base FTE figure.

#### Sample FTE calculation

An organisation has an estimated FTE for the full 2022–23 financial year of 1460, and wants to calculate the FTE for the first quarter of 2022–23 (July through September).

The first step is to calculate the estimated daily FTE for 2022–23. This is done by dividing the total FTE by the number of days in the full year:

$$\frac{2022-23 \text{ full year FTE}}{\text{Number of days in 2022-23}} = Estimated daily FTE for 2022-23}$$

$$\frac{1460}{365} = 4 \text{ (Estimated daily FTE for 2022-23)}$$

This is then multiplied by the number of days in the relevant reporting period to provide the FTE used in calculating incidence rates for that period. In this case, there are 92 calendar days in the first quarter of 2022–23.

Estimated daily FTE X Days in reporting period = Estimated FTE for the reporting period

In this example:

 $4 \times 92 = 368$  (Estimated FTE for the first guarter of 2022–23)

# **GLOSSARY**

| Term                         | Definition   |
|------------------------------|--|
| Accepted claim               | A claim for compensation where liability has been accepted under the SRC Act.  |
| CDW                          | The Commission Data Warehouse (CDW) is a data repository for unit claims data provided by determining authorities (i.e. Comcare and licensed self-insurers). The Commission Data Warehouse Specifications describe the requirements for determining authorities under the SRC Act to submit data to the CDW.   |
| Claim                        | Any compliant claim for compensation, for example, the initial liability claim, claim for payment of medical expenses, claim for impairment payments, claim for cost of services rendered or claim for incapacity benefits.  |
| Claim type                   | Higher level categorisation of claims for reporting purposes under the headings:  > Injury claim  > Disease claim  > Psychological claim   |
| Commuting                    | Travel to or from work as defined in section 6 of the SRC Act. This does not include travel associated with employment. That is where travel is part of an employee's duties or where the cost of travel is met by the employer.   |
| Commuting claim              | A claim for an injury sustained when travelling to or from work, as defined in section 6 of the SRC Act (see commuting). Commuting claims are identified by a Duty status code of 'O4' (Travelling to or from work) (refer Item B10 in the CDW Specifications).  |
| Compliance date              | In relation to a new claim, the date on which the claim, being compliant with legislative requirements, was received by the determining authority. This is identified by the earliest <i>Date/time of determination status change</i> in relation to a claim where the corresponding <i>Determination status code</i> is 'U' (Undetermined), 'A' (Accepted) or 'R' (Rejected) (refer Items C2 and C3 in the CDW Specifications). Also see note on page 15. |
| Current determination status | The current decision regarding liability for compensation in relation to a claim. This is identified by the Determination status code that corresponds to the latest Date/time of determination status change in relation to a claim (refer Items C2 and C3 in the CDW Specifications). Also see note on page 7.   |
| Date of injury               | For an injury, the date on which the injury as defined by s. 6 of the SRC Act occurred; or for a disease, the date when medical treatment was first sought, or first resulted in incapacity or impairment (disease) as defined by s. 7(4) of the SRC Act.  |
| Death due to claim flag      | A flag that identifies whether the worker died as a result of the claimed injury/disease (refer Item B22, CDW Specifications).   |
| Determination                | A decision regarding liability for compensation or rehabilitation under the SRC Act. For a new claim, determination means the initial decision regarding liability.  |
| Determination status code    | A code that identifies the determination status of a claim (refer item C3 in the CDW Specifications).  |
| Disease                      | From 13 April 2007, any ailment suffered by an employee, or the aggravation of such an ailment, that is contributed to, to a significant degree, by the employee's employment.   |

| Term                         | Definition   |
|------------------------------|--|
| Disease claim                | As specified by the <i>Nature of injury/disease code</i> (e.g. for claims coded in accordance with TOOCS3.1 DISEASES AND CONDITIONS and OTHER DISEASES, claims with a <i>Nature of injury/disease code</i> between 401 and 949 inclusive) (refer Item B4 in the CDW Specifications).   |
|                              | For the purpose of reporting on 'Claim type', this excludes the subset mapping specified under 'Psychological claim' (TOOCS 3.1 MENTAL DISEASES).  |
| FTE Employee                 | Full Time Equivalent Employee  |
|                              | The total number of FTE employees who worked in the reporting period is defined as the total hours worked by all employees in the reporting period divided by the average/standard hours worked in full-time jobs.   |
| Incapacity                   | A diminished ability to earn. The amount of lost time in relation to a claim is identified by the total Incapacity weeks (refer Item G7 in the CDW specifications).  |
|                              | A period over which an employee works his or her normal pre-injury weekly hours, but is in receipt of incapacity payments due to "make-up pay", is not considered as lost time. Accordingly, determining authorities should ensure that, where an incapacity determination is reported to the CDW in relation to an employee who is working his or her normal weekly hours, while all data fields are still required, the Incapacity weeks should be reported as zero. |
| Incapacity benefit           | A payment made by a determining authority, directly or indirectly, by way of income maintenance.   |
| Incident notification        | A written or verbal report made to Comcare of an injury, illness or disease that meets the notification criteria provided by the WHS Act and WHS Regulations.  |
|                              | Further information regarding incident notification under the WHS Act can be obtained from Comcare's website <a href="www.comcare.gov.au">www.comcare.gov.au</a>   |
| Initial determination date   | In relation to a new claim, the date upon which the first decision was taken to accept or deny liability for compensation. Also referred to as an 'original determination'.  This is identified by the earliest Date/time of determination status change in relation to a claim where the corresponding Determination status code is 'A' (Accepted) or   |
| Current determination status | 'R' (Rejected) (refer Items C2 and C3 in the CDW Specifications).  In relation to claim, the first decision taken to accept or deny liability for compensation. This is identified by the 'A' (accepted) or 'R' (Rejected) Determination status code in relation to a claim with the earliest corresponding Date/time of determination status change (refer Items C2 and C3 in the CDW Specifications).  |
| Injury                       | Refers to either an injury or disease (unless otherwise specified). An injury can be a physical or mental injury and includes aggravation of a pre-existing ailment.   |
| Injury claim                 | As specified by the Nature of injury/disease code (e.g. for claims coded in accordance with TOOCS3.1 INJURIES and OTHER CLAIMS, claims with a Nature of injury/disease code between 101 and 399 or 951 and 999 inclusive) (refer Item B4 in the CDW Specifications).   |
| New claim                    | A claim that complies with the requirements of section 54 of the SRC Act.  |
| Original determination       | Any decision made by a delegate in respect of compensation or rehabilitation that is capable of being the subject of a reconsideration. An initial determination is also an original determination.  |

| Term                            | Definition   |
|---------------------------------|--|
| Psychological claim             | As specified by the Nature of injury/disease code (e.g. for claims coded in accordance with TOOCS3.1 MENTAL DISEASES (subset within DISEASES AND CONDITIONS), claims with a Nature of injury/disease code between 702 and 707 inclusive, or 718 and 719) (refer Item B4 in the CDW Specifications).  |
| Reaching one week of incapacity | A claim which has reached one or more weeks of lost time (a week being equal to the normal weekly hours for the worker).   |
| Reconsideration                 | An employee or employer, who is dissatisfied with a decision made by a determining authority, may ask for that decision to be reviewed by an officer not involved in the making of the decision in question. The result of such a review is called a reviewable decision.  |
| Reconsideration decision code   | A code that identifies the outcome of a reconsideration (refer Item H7 in the CDW Specifications).   |
| Reconsideration decision date   | The date a decision in writing (i.e. reviewable decision) was made in relation to a reconsideration. This is identified by the Reconsideration decision date (refer Item H6 in the CDW Specifications).  |
| Reconsideration initiator       | A code that identifies the party that initiated a reconsideration (refer Item H4 in the CDW Specifications).   |
| Reconsideration received date   | The date a request for reconsideration is received by the determining authority.  This is identified by the Reconsideration request received date (refer Item H3 in the CDW Specifications).  Where the claimant or employer requests a reconsideration, and seeks an extension of time to supply additional information, or indicates that further information will be forthcoming, the date of receipt may be taken to be the date when all additional information is received from the claimant or employer. In these cases, the Reconsideration request received date should reflect the date the additional information was received. There is no scope to adjust the date of receipt if information is sought by the determining authority from either the employer or the employee. |
| Reviewable decision             | A decision reconsidered by a determining authority under s. 38 or s. 62 of the SRC Act. Only when there is a reviewable decision can there be an application to the Administrative Appeals Tribunal (see reconsideration and AAT).   |
| SRC Act                         | Safety, Rehabilitation and Compensation Act 1988   |
| Takeover claim flag             | A flag that identifies claims for which the determining authority has taken over liability from another body (refer Item B33 in the CDW Specifications).   |
| TOOCS                           | The Type of Occurrence Classification System (TOOCS) provides a system for coding the circumstances surrounding an injury/disease occurrence. The current classification system in use is the 3rd edition, revision 1 (TOOCS3.1).  |
| WHS Act                         | Work Health and Safety Act (Cwth) 2011   |

# **VERSION CONTROL**

| Version Number | Date          | Description                        |
|----------------|---------------|------------------------------------|
| 2.0            | 24 April 2023 | Word version to match web version. |